## Format of holding of specified securities

- 1. Name of Entity: National Securities Clearing Corporation Limited
- 2. Scrip Code/Name of Scrip/Class of Security: Equity Shares
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) for the quarter ended June 30, 2016
  - a. If under 31(1)(b) then indicate the report for Quarter ending
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledged or otherwise encumbered?		No

<sup>\*</sup> If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share (III)	No. of fully paid up equity shares held (IV)	No. of Partl equity shares held (V)	No. of shares underlyi Depositor Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Sharehold ing as a % of total (calculated per SCRR, 1957) (VIII)		class of	securiti	es	No. of Shares Underlyi Outstandin convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share	Lo s	mber of cked in chares (XII)	Sh plea othe encu (	nber of nares Iged or erwise mbered XIII)	Number of equity shares held in dematerializ ed form
				, ,		, ,	As a % of (A+B+C2)	No of Vot	ing Rig	hts	Totalas a	Warrants) (X)	capital)	No. (a)	As a % of	No. (a)	As a % of	(XIV)
							(2.02)	Class eg: X	Clas s eg: Y	Total	% of (A+B+ C)	(4)	(XI)= (VII)+(X) As a % of (A+B+C2)		total Shar es held (b)		total Share s held (b)	
(A)	Promoter & Promoter Group	1	*4,50,00,000	-	-	*4,50,00,000	100	*4,50,00,000	=	100	100	-	-		-		-	4,49,99,952
(B)	Public	-	-	-	-	-	-	-	-	-	-	-	-		-		-	-
(C)	Non Promoter- Non Public	-	-	-	-	-	-	-	-	-	-	-	-		-		-	-
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-		-		-	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-		-		-	-
(D)	Trading Members and Associates of Trading Members	-	-	-	-	-	-	-	-	-	-	-	-		-		-	-
	Total	1	*4,50,00,000	-	-	*4,50,00,000	100	*4,50,00,000	-	100	100	-	-		-		-	4,49,99,952

\*-includes 6 Nominees holding 8 equity shares each (jointly with NSE, NSE being the first holder) of Rs.10/- each in physical form.

Notes: (i) Number of shares available in the non-public category is 2,20,50,000 equity shares of Rs.10/- each (49%). (ii) Number of shares available for acquisition by persons resident outside India is 2,20,50,000 equity shares of Rs.10/- each (49%). However the above is subject to FDI policy, RBI and SEBI Regulations currently in force

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. share older (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareh ding % calcular d as pe SCRR 1957 As a % (A+B+ (VIII)	Number of each of No of Voti	lass of se (IX)	curities	Total as a % of Total Voting	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholdin g, as a % assuming full conversion of convertible securities ( as a percentage	Numk Lock sha (X No. (a)	As a % of total Shar	Sh pled othe encui	nber of lares ged or erwise mbered KIII)  As a % of total share	Number of equity shares held in dematerializ ed form (XIV)
										Ÿ	al	rights		of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2		es held (b)		s held (b)	
(1)	Indian	-	-	-	-	-	-	-	-	-	-	-	-	-	-			-	-
(a)	Individuals/Hindu undivided Family	1	1	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
(b)	Central Government/State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
(c)	Financial Institutions/Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			-
(d)	Any Other (specify) Bodies Corporate	-	1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,000	-	100	100	-	-	-	•		-	4,49,99,952
	National Stock Change of India Limited		1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,000	-	100	100	-	-	-			-	4,49,99,952
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-			-	-
	Sub-Total (A)(1)	-	1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,000	-	100	100	-	-	-			-	4,49,99,952
(2)	Foreign	-	-	-	-	-	-	-	-	-	-	-	-	-	-			-	-
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-			-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	=

	Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	-															
	Total		1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,000	-	100	100	-	-	-	-	4,49,99,952
	Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f)	Any Other (specify)	1	-	-	-	-	-	-	-	1	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor	1	-	-	-	-	-	1	-	1	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	=	-	-	-

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. NA

- Note:
  (1) PAN would not be displayed on website of Stock Exchange(s).
  (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of sharehol (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) VIII		h class (l.		To tal as	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g, as a % assuming full conversion of convertible securities	Numb Locke share: (XII) No. (a)	As a % of total	Sh pled othe encui (X No. (No t	ber of ares ged or erwise mbered (III) As a % of total	Number of equity shares held in dematerializ ed form (XIV)
									Class X	Cla s s y	Tot al	a % of To tal Vo		(as a percentage of diluted share capital) (XI)		Shar es held (b)	ap pli ca ble ) (a)	share s held (Not applic able) (b)	
(1)	Institutions																	-	<b></b>
(a)	Mutual Funds/	-	-	-	-	-	-	-	-	-	-	-	-	-	-			-	-
	Name (Xyz)	-						-	_		_	_	-	1					_
(b)	Venture Capital Funds	ı	-	-	-	-	-	-	-	-	-	-	-	-	-			-	-
	Name (Xyz)	-	_	_	_	_	_	_	_	_	_	-	_	-	-			_	_
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-			-	-
	Name (Xyz)	-	_	_	-	-	-	1	-	_	1	_	-	1	-			_	
(d)	Foreign Venture Capital Investors	ı	-	-	-	-	-	ı	-	-	ı	-	1	ı	-			-	-
	Name(Xyz)	-	_	-	-	_	-	-	_	-	ı	-	-	-	-			-	-
(e)	Foreign Portfolio Investors	1	-	-	-	-	-	-	-	-	ı	-	-	-	-			-	-
	Name(Xyz)	-	-	-	-		-	-	_	-	_	-	-	-	-			-	-
(f)	Financial Institutions/Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-			-	-
	Name(Xyz)	-	-	_	-	-	-	1	-	-	ı	-	1	1	-			_	-
(g)	Insurance Companies	į	-	-	-	-	-	-	-	-	ı	-	-	-	-			-	-
	Name(Xyz)	-			_		-	-	_	_	_	_	_	-	_			_	-
(h)	Provident Funds/ Pension Funds	1	-	-	-	-	-	-	-	-	1	-	-	-	-			-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-			-	-
	Any Other (specify) Foreign Direct Investment	-	-	-	-	-	-	-	-	-	-	-	-	-	-			-	-
	Name(Xyz)	-	_		-	-	-	ı	_	_		_	-	-	-			_	-
	Sub-Total (B)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		_	-	-

(2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	_	-	-	-	-	-	-	_	-
	Sub-Total (B)(2)	-	-	-	-	-	-	ı	-	-	-	-	-	ı	-	-	-
(3)	Non-institutions	-	-	-	-	-	-	ı	-	-	-	-	-	ı	-	-	-
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																
	Name(Xyz)	-	-	-	-	-	_	-	-	-	-	-	-	-	-	-	_
(b)	NBFCs registered with RBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	_	-	-	-	-	-	-	-	-	-	_
(c)	Employee Trusts	-	_	-	-	-	_	1	-	_	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	_	ı	-	-	_	-	-	ı	-	_	-
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Bodies Corporates	-	-	-	1	-	-	ı	-	-	-	1	-	1	-	-	-
	Name(Xyz)	-	_	-	-	-	_	1	-	_	-	-	-	-	-	_	-
	Sub-Total (B)(3)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)( 3)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. NA

## Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).(2) The above format needs to be disclosed along with the name of following persons:
- Institutions/Non Institutions holding more than 1% of total number of shares.

  (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareh older (III)	No. of fully paid up equity share s held	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Sharehol ding % calculate d as per SCRR, 1957	ea	ch class ( (I	of secu (X)		No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion of	Lock sha (X	ber of red in ares (II)	Sh pled othe encui	nber of nares ged or erwise mbered XIII)	Number of equity shares held in dematerializ ed form		
				s neia (IV)				As a % of (A+B+C2) (VIII)	No of Voting Rights			Total as a % of Total	(including Warrants) (X)	convertible securities (	No.	As a % of total	No. (No t	As a % of total	(XIV) (Not Applicable)		
									Class X	Class Y	Tot al	Voting rights		as a percentage of diluted share capital) (XI)		Shar es held	ap pli ca ble )	share s held (Not applic able)			
(1)	Custodian/DR Holder	=	-	-	-	-	-	-	-	-	-	-	-	-		-		NA	-		
(a)	Name of DR Holder (if available)	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-		AA	-
(i)	abc,.,	-	-	-	-	-	-	-	-	-	-	-	-	-		-	NA		-		
(ii)	efg	-	-	-	-	-	-	-	-	-	-	-	-	-		-	1	NΑ	-		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	-	-	-	-	-	-	-	-	-	-	-	-	-		-	ľ	NA	-		
(a)	Name (abc	-	-	-	-	-	=	-	-	-	-	-	-	=		-	1	AV	=		
Note	Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-		-	1	NA	-		

<sup>(2)</sup> The above format needs to disclose name of all holders holding more than 1% of total number of shares
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,