Format of holding of specified securities

- 1. Name of Listed Entity: NSE Clearing Limited
- 2. Scrip Code/Name of Scrip/Class of Security: Equity Shares
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) for the quarter ended September 30, 2020
- a. If under 31(1)(b) then indicate the report for Quarter ending
- b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledged or otherwise encumbered?		No

^{*} If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shar ehol ders (III)	No. of fully paid up equity shares held (IV)	No. of Partl y paid- up shar es	No. of shares underl ying Deposi tory Receip ts	Total nos. shares held (VII)=(IV)+ (V)+(VI)	Shareh olding as a % of total no. of shares (calcul ated as	Number of Voti each class of s	ecuriti		Total as a	No. of Shares Underlying Outstandin g convertible securities (including	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	Lock sha	ber of red in ares (II) As a %of	Sha pledg other encun	rwise	Number of equity shares held in dematerialised form (XIV)
				held(V)	(VI)		per SCRR, 1957)(VIII) As a % of (A+B+ C2)	Class eg : X	CI as s eg: Y	Total	% of (A+B +C)	Warrants) (X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	, ,	total Shar es held (b)	, ,	total Shar es held (b)	
(A)	Promoter & Promoter Group	1	*4,50,00,000	-	-	*4,50,00,000	100	*4,50,00,000	-	100	100	-	-	-		-		4,50,00,000
(B)	Public	-	_	-	-	_	-	_	-	-	-	-	_	-		-		-
(C)	Non Promoter- Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
(D)	Trading Members and Associates of Trading Members	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
	Total	1	*4,50,00,000	-	-	*4,50,00,000	100	*4,50,00,000	-	100	100	-	-	-		-		4,50,00,000

^{*-}includes 6 Nominees holding 8 equity shares each (jointly with NSE, NSE being the first holder) of Rs.10/- each.

Note: Number of shares available in the non-public category is 2,20,50,000 equity shares of Rs.10/- each (49%). Number of shares available for acquisition by persons resident outside India is 2,20,50,000 equity shares of Rs.10/- each (49%). However the above is subject to FDI policy, RBI and SEBI Regulations currently in force

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholder (I)	PAN (II)	Nos. of Shareh olders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up shares held (V)	No. of shares underl ying Deposi tory Receip ts (VI)	Total nos. shares held (VII)=(IV)+ (V)+(VI)	Sharehold ing as a % of total no. of shares (calculate d as per SCRR, 195 7)(VIII) As a % of (A+B+C2)	Number of Voting	/oting Rigl urities g Rights	nts held i	n each Total as a %	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of	Numbe Locke shan (XII) No.	d in es	Number of Shares pledged or otherwise encumber ed (XIII) No. As a (a) %of	equity shares held in dematerialised form (XIV)
									Class eg : X	Class eg: Y	Total	of Total Voting rights		(A+B+C2)		total Shar es held (b)	total Shai es held (b)	
(1)	Indian	-	-	-	-	-	-	-	-	-	-	-	-	ı	-		-	-
(a)	Individuals/Hindu undivided Family	-	i	-	-	1	-	-	-	-	-	-	-	-	-		-	-
	Name (xyz)	-	-	-	-	-	-	-	-	=	-	=	-	-	-		=	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	=	=	-	-	-	-	-	-		-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
(c)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
(d)	Any Other (specify) Bodies Corporate	-	1	4,50,00,000		-	4,50,00,000	100	4,50,00,000		100	100	-	-	-		-	4,50,00,000
	National Stock Change of India Limited	-	1	4,50,00,000	-		4,50,00,000	100	4,50,00,000	-	100	100	-	-	-		-	4,50,00,000
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
	Sub-Total (A)(1)	-	1	4,50,00,000	-		4,50,00,000	100	4,50,00,000		100	100	-	-	-		-	4,50,00,000
(2)	Foreign	-	-	-	-	-	-	=	-	-	-	-	-	=	-		-	-
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
	Name (xy z)	-	-	-	-	-	-	-	-	-	-	=	-	-	=		-	-

b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Foreign Portfolio Investor	ı	-	-	-	-	ı	-	-	-	ı	-	1	ı	ı	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(f)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	•	1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,000	-	100	100	-	-	-	-	4,50,00,000

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. NA

- (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholder (I)	PAN (II)	Nos. of Shareholder s (III)	No. of fully paid up equity shares held (IV)	Partly paid- up shares held (V)	No. of shares underl ying Deposi tory Receip ts	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholdi ng as a % of total no. of shares (calculated as per SCRR, 1957)(VIII) As a	Number each cla	ss of sec	urities	held in	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbere d (XIII)	Number of equity shares held in dematerialised form (XIV)
						(VI)		% of (A+B+C2)	Class eg:X	Clas s eg: Y	Total	a % of Total Voting rights		diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	(a) a %of tota I Sha res hel d (b)	(a) a %of tota I Sha res hel d (b)	
(1)	Institutions Mutual Funds/								-								
(a)	·	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Name (Xyz) Venture Capital	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-
(b)	Funds	-	=	-	-	-	-	-	=	-	-	-	-	-	-	-	-
	Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (Xyz)	-	=	-	-	-	-	-	-	-	-	-	ı	-	-		-
(d)	Foreign Venture Capital Investors	ı	-	ı	-	-	-	-	-	-	ı	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	1	-	-		-
(e)	Foreign Portfolio Investors	-	-	-	=	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	_	-	-	-	-	-	-	-	-	_	-	-		-
(f)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	_	-	-	-	-	-	-	-	-	_	-	-		-
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	=	-	-	-	-	-	=	=		-
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Any Other (specify) Foreign Direct Investment	-	=	1	-	-	-	-	-	-	ı	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-
	Sub-Total (B)(1)	-	-	-	-	-	-	-	-	-	-	-	=	-	-		-

(2)	Central Government/ State Government(s)/ President of India	-				-			-	1	-	_	-	-	_		
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Sub-Total (B)(2)	-	-	-	-	-	-	_	-	-	-	-	-	-	-	-	
(3)	Non-institutions	-	-	-	-	-	-	-	-	-	-	-	-	_	-	-	-
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	-	-	-	-	-	-	-	-	1	1	-	-	-	-	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	_	-	-	
(b)	NBFCs registered with RBI	-	-	-	-	-	-	-	-	1	-	-	-	-	-	-	-
	Name(Xyz)	-	=	-	-	-	-	=	-	-	-	-	=	-	-	-	
(c)	Employee Trusts	-	-	-	-	-	-	-	-		-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	_	-	-	-	-	-	-	-	-	
(d)	Overseas Depositories (holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-		-	-	-	-	-	-	
(e)	Any Other (specify)		_	_	-	-	-	-	-	1	-	-	-	-	-	-	-
	Bodies Corporates	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	_	-	-	
	Sub-Total (B)(3)	-	-	-	-	-	-	-	-	-	-	-	-	_	-	-	
Dataila	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3) of the shareholders ac	-	- normana in Co	-	- a their Chareha	- ding (No. 5)		-	-	-	-	-	-	-			

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat /unclaimed suspense account, voting rights which are frozen etc. NA

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV – Statement showing shareholding pattern of the Non-Promoter- Non Public Shareholder

		PAN (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up shares held (V)	No. of shares underlyi ng Deposito ry Receipts (VI)	Total nos. shares held (VII)=(IV)+ (V)+(VI)	Shareholdi ng as a % of total no. of shares (calculated as per SCRR, 1957)(VIII) As a % of (A+B+C2)	Numbe held in securit	each ies	class		c : (No. of Shares Inderlying Outstandin g convertible securities (including Warrants) (X)	Total Shareholdi ng , as a % assuming full conversion of convertible securities (as a percentage	Loc sh	nber of ked in pares (XII)	Sh pled othe encu	nber of pares Iged or erwise umbere (XIII)	Numbe r of equity shares held in demate rialised form (XIV)
									Rights Clas s eg : X	CI as s eg : Y	To tal	as a gray as a g	of tal tin		of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a %of total Shar es held (b)	No. (a)	As a %of total Shar es held (b)	
(1)	Custodian/DR Holder	-	-	-	-	-	-	-	-		-	-	-	-	-	-	-		NA	-
(a)	Name of DR Holder (if available)	-	-	-	=	-	-	-	-		-	-	-	-	=	-	-	1	NA	-
(i)	abc,.,	-	-	-	-	-	-	-	-		-	-	-	-	-		-		NΑ	-
(ii)	efg	-	-	-	-	-	-	-	-		-	-	-		-		•		NA	-
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		-	-	-		-	-	-		-	-	-	-	-		-	1	NA	-
(a)	Name (abc	-	-	-	-	-	-	-	-		-	-	-	-	-	-		1	NA	-
No	Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	-	-	-	-	-	-	-	-		-	-	-	-	-		-	١	AV	-

Note

(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

Table V- Statement showing details of significant beneficial owners

Sr. No	Details of beneficial	of the al owner (I)	significant	Details	of the reg (II)	istered owner		the shares in which neficial interest is neficial owner (III)	Date of creation / acquisition of significant beneficial interest
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	(IV)
-	-	-	-	-	-	-	-	-	