## Format of holding of specified securities

- 1. Name of Listed Entity: NSE Clearing Limited
- 2. Scrip Code/Name of Scrip/Class of Security: Equity Shares
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) for the quarter ended September 30, 2018
- a. If under 31(1)(b) then indicate the report for Quarter ending
- b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledged or otherwise encumbered?		No

<sup>\*</sup> If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shar ehol ders (III)	No. of fully paid up equity shares held (IV)	No. of Partl y paid- up shar	No. of shares underl ying Deposi tory Receip	Total nos. shares (VII)=(IV)+ (V)+(VI)	Shareh olding as a % of total no. of shares (calcul	No of Voting Rights Total				No. of Shares Underlying Outstandin g convertible securities	Shareholding ,  as a %  assuming full  conversion of  convertible  securities (as a  percentage of	Number of Locked in shares (XII)  No. As a		ked in Shares pledged or otherwise encumbered (XIII)  As a No. As a		Number of equity shares held in dematerialised form (XIV)
				es held( V)	ts (VI)		ated as per SCRR, 1957)( VIII) As a % of (A+B+ C2)	Class eg : X	CI as s eg: Y	Total	as a % of (A+B +C)	(including Warrants) (X)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	(a)	%of total Shar es held (b)	(a)	%of total Shar es held (b)	
(A)	Promoter & Promoter Group	1	*4,50,00,000	-	-	*4,50,00,000	100	*4,50,00,000	-	100	100	-	-	-		-		4,49,99,952
(B)	Public	-	-	-	-	-	-	-	-	-	-	-	-	_		-		-
(C)	Non Promoter- Non Public	-	-	-	-	-	=	-	-	-	-	-	-	-	-			-
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	_	-	-	-	-	-	-	-		-		-
(D)	Trading Members and Associates of Trading Members	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
	Total	1	*4,50,00,000	-	-	*4,50,00,000	100	*4,50,00,000	-	100	100	-	-	-		-		4,49,99,952

<sup>\*-</sup>includes 6 Nominees holding 8 equity shares each (jointly with NSE, NSE being the first holder) of Rs.10/- each in physical form.

Note: Number of shares available in the non-public category is 2,20,50,000 equity shares of Rs.10/- each (49%). Number of shares available for acquisition by persons resident outside India is 2,20,50,000 equity shares of Rs.10/- each (49%). However the above is subject to FDI policy, RBI and SEBI Regulations currently in force

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholder (I)	PAN (II)	Nos. of Shareh olders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up shares held (V)	No. of shares underl ying Deposi tory Receip ts (VI)	Total nos. shares (VII)=(IV)+ (V)+(VI)	Sharehold ing as a % of total no. of shares (calculate d as per SCRR,195 7)(VIII) As a % of (A+B+C2)	Number of values of second sec	/oting Rigl urities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Numbe Locke shar (XII	d in es	Number of Shares pledged or otherwise encumber ed (XIII)	Number of equity shares held in dematerialised form (XIV)
									Class eg : X	Class eg: Y	Total	as a % of Total Voting rights			(a)	%of total Shar es held (b)	(a) %of total Shar es held (b)	
(1)	Indian	-	-	-	-	-	-	-	-	-	-	-	-	1	-		-	-
(a)	Individuals/Hindu undivided Family	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
	Name (xyz)	1	-	-	-	-	-	-	-	-	-	-	-	1	-		-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
(c)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
(d)	Any Other (specify) Bodies Corporate	•	1	4,50,00,000		-	4,50,00,000	100	4,50,00,000		100	100	-	-	-		-	4,49,99,952
	National Stock Change of India Limited	-	1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,000	-	100	100	-	-	-		-	4,49,99,952
	Name (xyz)	-	=	-	=	=	=	=	=	=	-	-	-	-	-		=	-
	Sub-Total (A)(1)	-	1	4,50,00,000	-		4,50,00,000	100	4,50,00,000		100	100	-	-	-			4,49,99,952
(2)	Foreign	-	-	-	-	=	=	=	=	=	-	-	-	-	-		=	-
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
	Name (xy z)	-	-	-	-	-	-	-	-	=	-	-	-	-	=		-	-

b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(f)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Sub-Total (A)(2)	-	_	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	-	1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,000	-	100	100	-	-	-	-	4,49,99,952

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. NA

## Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
  (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholder (I)	PAN (II)	Nos. of Shareholder s (III)	No. of fully paid up equity shares held (IV)	fully paid up equity shares	fully paid up equity shares	fully paid up equity shares	Partly paid- up shares held (V)	No. of shares underl ying Deposi tory Receip	Total nos. shares (VII)=(IV) + (V)+(VI)	Shareholdi ng as a % of total no. of shares (calculated as per SCRR,1957	Number each cla	ss of sec	eurities		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding ,    as a %    assuming full    conversion of    convertible    securities (as a    percentage of	Numb Lock sha (X	ed in res II)	Numb Sha pledge other encun d (X	res ed or wise ibere (III)	Number of equity shares held in dematerialised form (XIV)																									
						ts (VI)		)(VIII) As a % of (A+B+C2)	No of Vo Class eg:X	ting Rigi Clas s eg: Y	Total	Total as a % of Total Voting rights		diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a %of tota I Sha res hel d (b)	No. (a)	As a %of tota I Sha res hel d (b)																													
(1)	Institutions								-								•																														
(a)	Mutual Funds/	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																												
	Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																												
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																												
	Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																												
(c)	Alternate Investment Funds	ı	-	-	-	1	ı	-	-	-	-	-	-	1	-		-		-																												
	Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																												
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																												
	Name(Xyz)	-	_	-	-	-	-	-	-	-	-	_	-	-	-				-																												
(e)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																												
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																												
(f)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																												
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		•		-																												
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-		-		-		-		-		-		-		-		-		-		-		-		-		-		-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		•		-																												
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																												
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																												
	Any Other (specify) Foreign Direct Investment	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																												
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																												
	Sub-Total (B)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																												

(2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-	-	-		-	1		-	
	Name(Xyz)	-	-	-	-	-	-	-	-		-	-	-	-	-	-	
	Sub-Total (B)(2)	-	_	_	-	-	-	_	-	-	-	-	-	-	-	_	
(3)	Non-institutions	_	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	NBFCs registered with RBI	ı	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	1	-	-	-	-	-	-	
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Overseas Depositories (holding DRs) (balancing figure)	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Bodies Corporates	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Sub-Total (B)(3)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)( 3) If the shareholders act	-	-	-	-	-	-	-	-	-	-	-	-	-			

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat /unclaimed suspense account, voting rights which are frozen etc. NA

- (1) PAN would not be displayed on website of Stock Exchange(s).
  (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
  (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non-Promoter- Non Public Shareholder

	Category & Name of shareholder (I)	PAN (II)	Nos. of Shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up shares held (V)	No. of shares underlyi ng Deposito ry Receipts (VI)	Total nos. shares (VII)=(IV)+ (V)+(VI)	Shareholdi ng as a % of total no. of shares (calculated as per SCRR,1957 )(VIII) As a % of (A+B+C2)	No of Voting Rights Clas CI To % of			Total as a	No. of Shares Underlying Outstandin g convertible securities (including Warrants) (X)	Shareholdi ng , as a % assuming full conversion of convertible securities (as a percentage of diluted share	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbere d (XIII)		Numbe r of equity shares held in demate rialised form (XIV)
									Clas s eg : X	CI as s eg : Y	To tal	% of Total Votin g right s		capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a %of total Shar es held (b)	No. (a)	As a %of total Shar es held (b)	
(1)	Custodian/DR Holder	-	-	-	-	=	-	-	-	-	-	-		-	-		NA		-
(a)	Name of DR Holder (if available)	ı	-	1	-	-	ī	-	-	-	-	-	-	-	-		NA		-
(i)	abc,.,	-	-	•	-	-	ı	-	-	-	-	-	1	-	-		NA		-
(ii)	efg	-	-	-	-	-	ı	-	-	-	-	-	•	-	-		NA		-
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		-	-	-	-		-	-	-	-	-	_	-	-		NA		-
(a)	Name (abc	-	-	=	-	-	-	-	-	-	-	-	-	-	-		NA		-
	Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	-	-	-	-	-	ı	-	-	-	-	-	•	-	=		NA		-

<sup>(1)</sup> PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,