Format of holding of specified securities

- 1. Name of Listed Entity: National Securities Clearing Corporation Limited
- 2. Scrip Code/Name of Scrip/Class of Security: Equity Shares
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) for the quarter ended December 31, 2016
- a. If under 31(1)(b) then indicate the report for Quarter ending
- b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledged or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table, the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shar e(III)	No. of fully paid up equity shares held (IV)	paid up equity shares	No. of Partl y paid- up shar es	No. of shares underl ying Deposi tory Receip ts	Total no. of shares (VII)=(IV)+ (V)+(VI)	Shareh olding as a % of total no. of shares (calcul ated as	Number of Voti each class of s	ecuriti		Total	No. of Shares Underlying Outstandin g convertible securities (including	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	Lock sha	ber of sed in ares (II) As a %of	Sha pledg other encun		Number of equity shares held in dematerialise d form (XIV)
	Promoter &			held(V)	(VI)	*4,50,00,000	per SCRR, 1957)(VIII) As a % of (A+B+ C2)	Class eg : X	CI as s eg: Y	Total	% of (A+B +C)	Warrants) (X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	(4)	total Shar es held (b)	(4)	total Shar es held (b)		
(A)	Promoter & Promoter Group	1	*4,50,00,000	-	-	*4,50,00,000	100	*4,50,00,000	-	100	100	-	-	-		-		4,49,99,952	
(B)	Public	-	_	-	-	_	-	-	-	-	-	-	-	-		-		_	
(C)	Non Promoter- Non Public	-	-	-	=	-	-	-	-	-	-	-	-	-		-		-	
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-	
(C2)	Shares held by Employee Trusts	-	1	-	_	-	1	-	-	-	-	-	-	-		-		-	
(D)	Trading Members and Associates of Trading Members	-	-	-	-	-	-	-	-	-	-	-	-	_		-		-	
	Total	1	*4,50,00,000	-	-	*4,50,00,000	100	*4,50,00,000	-	100	100	-	-	-		-		4,49,99,952	

*-includes 6 Nominees holding 8 equity shares each (jointly with NSE, NSE being the first holder) of Rs.10/- each in physical form.

Note: Number of shares available in the non-public category is 2,20,50,000 equity shares of Rs.10/- each (49%). Number of shares available for acquisition by persons resident outside India is 2,20,50,000 equity shares of Rs.10/- each (49%). However the above is subject to FDI policy, RBI and SEBI Regulations currently in force

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholder (I)	PAN (II)	Nos. of Shareh older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up shares held (V)	No. of shares underl ying Deposi tory Receip ts (VI)	Total nos. shares (VII)=(IV)+ (V)+(VI)	Shareholdi ng as a % of total no. of shares (calculated as per SCRR,1957)(VIII) As a % of	Number of class of sec	curities (ÎX			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Numbe Locke share (XII	d in es)	Sha pledg othe encu d (ber of ares ged or rwise mbere XIII)	Number of equity shares held in dematerials ed form (XIV)
						(<i>vi</i>)		(A+B+C2)				Total as a %		(XI)= (VII)+(X) As a % of	No. (a)	As a	No. (a)	As a %of	
									Class eg : X	Class eg: Y	Total	of Total Voting rights		(A+B+C2)	(a)	%of tota I Sha res	(u)	total Shar es held (b)	
																hel d (b)			
(1)	Indian	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
(a)	Individuals/Hindu undivided Family	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
(c)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
	Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
(d)	Any Other (specify) Bodies Corporate	-	1	4,50,00,000		-	4,50,00,000	100	4,50,00,000		100	100	-	-	-		-		4,49,99,952
	National Stock Change of India Limited	-	1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,000	-	100	100	-	-	-		-		4,49,99,952
	Name (xyz)	=	-	=	-	=	=	-	-	=	-	-	-	=	=		-		=
	Sub-Total (A)(1)	-	1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,000	-	100	100	-	-	-		-		4,49,99,952
(2)	Foreign	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-
	Name (xy z)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-

(d)	Name (xyz) Foreign Portfolio Investor Name (xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name (xyz) Sub-Total (A)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	-	1	4,50,00,000	-	-	4,50,00,000	100	4,50,00,00 0	-	100	100		-	-	-	4,49,99,9

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. NA

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholder (I)	PAN (II)		No. of fully paid up equity shares held (IV)	fully paid up equity shares held	Partly paid- up shares held (V)	No. of shares underl ying Deposi tory Receip	Total nos. shares (VII)=(IV) + (V)+(VI)	Shareholdi ng as a % of total no. of shares (calculated as per SCRR,1957)	Number each cla	of Voting ss of sec	g Rights i curities	held in	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	Numb Locke shai (XI	ed in res	Numb Sha pledg other encun d ()	res ed or wise ibere	Number of equity shares held In demateriali sed form																																													
						ts (VI)		(VIII) As a % of (A+B+C2)	No of Vo Class eg:X	cting Rigi Clas s eg: Y	nts Total	Total as a % of Total Voting rights	(X)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a %of tota I Sha res hel d (b)	No. (a)	As a %of tota I Sha res held (b)	(XIV)																																														
(1)	Institutions								-								•																																																
(a)	Mutual Funds/	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		_																																														
4.)	Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																																														
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-		-								-		-		-		-				-		-		-		-		-		-		-		-		-		-		-		-			-
	Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																																														
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																																														
	Name (Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																																														
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																																														
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																																														
(e)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																																														
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																																														
(f)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																																														
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				_																																														
(g)	Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																																														
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																																														
(h)	Provident Funds/ Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																																														
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																																														
	Any Other (specify) Foreign Direct Investment	-	-	-	-	-	-	-	-	-	-	-	-	-	-		-		-																																														
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-				-																																														
	Sub-Total (B)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-																																																		

(2)	Central Government/ State	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Government(s)/																
	President of																
	India																
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Sub-Total (B)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(3)	Non-institutions	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	NBFCs registered with RBI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Overseas Depositories	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(holding DRs) (balancing figure)																
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Any Other (specify)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Bodies Corporates	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Name(Xyz)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Sub-Total (B)(3)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Total Public Shareholding (B)=	-	-	-	-	-	-	-	-	-	-	-	-	-			
Details of the share	(B)(1)+(B)(2)+(B) (3) holders acting as pers	eone ir	Concert incl	uding their St	hareholding (No	and %/-											

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat /unclaimed suspense account, voting rights which are frozen etc. NA

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV – Statement showing shareholding pattern of the Non Promoter-Non Public shareholder

	Category & Name of shareholder (I)	PAN (II)	Nos. of Shareholde r (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlyin g Deposito ry Receipts (VI)	Total nos. shares (VII)=(IV)+ (V)+(VI)	Shareholdi ng as a % of total no. of shares (calculated as per SCRR,1957)(VIII) As a % of (A+B+C2)	held in securit	% of Total		Total as a % of	No. of Shares Underlying Outstandin g convertible securities (including Warrants) (X)	Shareholdi ng, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XII)		Num ber of equit y shar es held dem ateri alise d form (XIV)
									Clas s eg : X	CI as s eg : Y	To tal	Votin g right s		(VII)+(X) As a % of (A+B+C2)	No. (a)	As a %of total Shar es held (b)	No. (a)	As a %of total Share s held (b)	(ems)
(1)	Custodian/DR Holder	-	-	-	_	_	_	-	-	-	-	-	-	_	-	(/	NA		-
(a)	Name of DR Holder (if available)	-	=	-	-	-	-	-	-	-	-	-	-	=	-		NA		-
(i)	abc,.,	-	-	-	-	-	-	-	-	-	-	-	-	-	-		NA		-
(ii)	efg	-	-	-	-	-	-	-	-	-	-	-	1	-	-		NA		-
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	-	-	-	-	-	-	-	-	-	-	-	-	-	_		NA		-
(a)	Name (abc	-	-	-	-	-	-	-	-	-	-	-	-	-	-		NA		-
Nete	Total Non- Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-		NA		-

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s).(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

⁽³⁾ W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,